

DIRECTIVE
01-EX-2

TO: All Domestic Life & Health Insurers, Property & Casualty Insurers, Health Maintenance Organizations, Health Care Plans and Captive Insurance Companies

DATE: July 23, 2001

RE: Privacy Compliance Survey

As part of the Georgia Insurance Department's efforts to determine general compliance with the new privacy protections mandated by Title V of the Gramm-Leach-Bliley Act (P.L. 106-102), the Department is participating in a coordinated privacy compliance survey with other state insurance departments. This approach is being utilized as an alternative to each state developing its own survey and distributing a state specific survey to each company operating within its jurisdiction.

Because this effort is being centrally facilitated through the National Association of Insurance Commissioners, you must access the survey through the NAIC web site at <http://www.naic.org/1whatsnew/psSurvey/psSurvey.html>. The survey is posted under the "What's New" section on the upper right side of the NAIC home page at www.naic.org. Pursuant to O.C.G.A. § 33-2-11, this survey must be completed in an electronic format via the Internet by Friday, August 3, 2001.

Please note the following items as you complete the survey:

1. The survey can only be completed once per company and multiple responses cannot be submitted to this state or other states;
2. If a group holding company is adopting and implementing a common privacy compliance strategy for all companies within a group, a group holding company may respond on behalf of all or some of its member companies regardless of where the member companies are domiciled. The group holding company must identify, by name and NAIC company code, the companies within the group holding company to which a response applies.

3. Because this survey is being used by multiple states, the survey questions are general in nature and do not reference any state specific privacy statute/regulation; and
4. The purpose of the survey is to obtain a general status report regarding your company's compliance plan in your state of domicile as an indicator of your overall privacy compliance in multiple jurisdictions.

Based upon the survey results, the Department will identify and prioritize what companies should be contacted for further regulatory review to ensure compliance with the privacy protections. Because of this, it is very important that the survey be accurately completed in a timely manner.

Should you have any questions regarding this Directive, please contact Debra Peirce, Office of the Commissioner of Insurance, Examinations Division, Suite 602, West Tower, Floyd Building, 2 Martin Luther King, Jr. Drive, Atlanta, Georgia 30334, (404) 656-2074 or Tim Mullen, NAIC staff support for the Market Conduct & Consumer Affairs (D) Committee at tmullen@naic.org or 816-783-8260.

This 23rd day of July, 2001

JOHN W. OXENDINE
COMMISSIONER OF INSURANCE

Note: If you are an individual with a disability and wish to acquire this document in an alternative format, please contact the ADA Coordinator, Office of Commissioner of Insurance, 2 Martin Luther King, Jr. Drive, Atlanta, Georgia 30334. Telephone Number (404) 656-2056; TTY/TDD (404) 656-4031.